



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Toru ONODERA et al.
Title: BLOCK COPOLYMERS AND USE THEREOF
Appl. No.: 10/573,783
International Filing Date: 09/24/2004
371(c) Date: 03/28/2006
Examiner: Jeffrey S. Lenihan
Art Unit: 1796
Confirmation Number: 5505

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

In a counterpart Japanese application, an Office Action dated April 20, 2009, was received by Applicants. According to an English translation of the Japanese Office Action obtained by Applicants' representative, the Japanese Patent Office made certain characterizations of the references. The disclosure of these characterizations should not be construed as an admission of or agreement to the opinions expressed in the Japanese Office Action. A copy of the Japanese Office Action and English language translation are attached herewith.

Documents D1, D2 and D4 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents D3 and D5 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Applicants wish to advise the Examiner that document JP 2003-031232, cited in the Japanese Office Action, was previously submitted in an Information Disclosure Statement dated October 24, 2007, and is not provided herewith.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date June 18, 2009

By 

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